

11/30/01

2161
PATENT
09/976,750

52
32
02-06-02



1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Manoel (nmi) Tenorio

Serial No. 09/976,750

Filing Date: October 12, 2001

Art Group Unit:

Title: DISPLAYING STRIKES BETWEEN BIDS AND ASKS
IN A MARKET OVER TIME USING POLYGONS

Honorable Assistant Commissioner
for Patents
P. O. Box 2327
Arlington, VA 22202

Dear Sir:

RECEIVED
DEC 10 2001
Technology Center 2100

CERTIFICATE OF MAILING BY EXPRESS MAIL - No. EL 759192826US	
I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37.C.F.R. 1.10 on the date shown below, and addressed to Assistant Commissioner for Patents, P. O. Box 2327, Arlington, VA 22202.	
Name:	Willie Jiles
Willie Jiles	
Date:	11-28-01

INFORMATION DISCLOSURE STATEMENT

Applicant respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of these references are enclosed for the convenience of the Examiner. No representation is made that a search has been made, that these references are material to the patentability of the present application, or that these references qualify as prior art.

Respectfully submitted,

BAKER BOTTS L.L.P.
Attorneys for Applicants

Christopher W. Kennerly
Reg. No. 40,675

2001 Ross Avenue
Dallas, Texas 75201-2980
Tel. 214.953.6812; Fax. 214.661.4812
chris.kennerly@bakerbotts.com

Date: 11/28/01

PTO-1449 O P E Information Disclosure Citation in an Application NOV 28 2001 PATENT & TRADEMARK OFFICE	Application No. 09/976,750 Docket Number 020431.0919	Applicant(s) Manoel (nmi) Tenorio
		Group Art Unit Filing Date 10/12/01

U.S. PATENT DOCUMENTS

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

NON-PATENT DOCUMENTS

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
A	@The Moment: The Platform for Real-Time Trading; "How Quickly Can You Respond to Your Markets?" pp.1	2001
B	@The Moment Solutions; "Dynamic Trading for a Dynamic World" pp 1	2001
C	Trade@The Moment: The Platform for Real-Time Trading; pp 1-2	2001
D	@The Moment Real-Time Trading Applications; pp 1-2	2001
E	@The Moment Professional Services; pp 1	2001
F	@The Moment Trade @The Moment FAQ; "Frequently Asked Questions (FAQ) About Dynamic Trading" pp 1-9	2001
G	Trade@The Moment Demos; pp 1	2001
H	@The Moment Screen Shots; pp 1-3	2001
J	Market Operator Navigation; pp 1	2001
K	Market Type Selection Page; pp 1-2	2001
L	Market Access Control Selection Page; pp 1-2	2001
M	Market Report; "marketplace@themoment;" pp 1	2001
N	Bid/Ask Pricing Page, pp 1-4	2001
O	Auction Page; pp 1-4	2001
P	Bid/Ask Page/Pitometer; pp 1-4	2001
Q	Bid/Ask Page/Order Book; pp 1-4	2001
R	@TheMoment Technology; pp1-2	2001

	S	@TheMoment Papers; pp 1	NOV 28 2001 U.S. PATENT AND TRADEMARK OFFICE JO87	2001
	T	@TheMoment Reliant Energy Customer Story, "Reliant Energy Turns to @TheMoment to Provide First ERCOT Auction for Electric Generation Capacity;" pp 1-2		
	U	@TheMoment White Papers; pp 1		2001
	V	@TheMoment White Paper Series; "Leveraging Web-Based Dynamic Trading for Gas Pipeline Capacity Sales;" pp 1-8		7/2001
	W	@TheMoment White Paper Series; "Building Competitive Advantage in Turbulent Markets Through Web-Based Dynamic Trading Technologies;" pp 1-12		8/2001
	X	@TheMoment White Paper Series; "Reducing Inventory Risks in High-Tech Component Manufacturing with Forward Contracts;" pp 1-12		8/2001
	Y	@TheMoment White Paper Series; "The Role of Web-Based Dynamic Trading in Restructured Electric and Gas Markets;" pp 1-14		10/2001
	Z	Trade@TheMoment: LDC Data Sheet; "The Complete Trading Solution for LDCs;" pp 1-4		10/2001
	AA	Trade@TheMoment: Power Data Sheet; "The Complete Trading Solution for Electric Utilities;" pp 1-2		11/2001
EXAMINER		DATE CONSIDERED		

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. Patent and Trademark Office

RECEIVED
DEC 10 2001
Technology Center 2100